

NEW JERSEY STATE LOTTERY COMMISSION
CODE OF ETHICS FOR VENDORS AND CONTRACTORS
INTRODUCTION

The proper functioning and financial success of the New Jersey State Lottery are vitally dependent upon the maintenance of public trust and confidence. It is essential, therefore, that the Lottery and those persons or firms who do business with it avoid all situations where proprietary or financial interests or the opportunity for financial gain could lead to favored treatment for any organization or individual. They must also avoid circumstances and conduct which may not constitute wrongdoing or a conflict of interest but might nevertheless appear questionable to the general public, thus compromising the integrity of the Lottery.

The Lottery Commission recognizes that in this complex society there will often occur situations in which overlapping or linked ownerships make total separations of interest impossible. It is also familiar with the laws governing the Executive Commission on Ethical Standards, N.J.S.A.

52:13D-12 et seq. which, while not strictly applicable to vendors or other contracting parties, provides guidance in this general area.

Accordingly, pursuant to authority embodied in N.J.S.A.

5:9-1, et seq., and for good cause, the following is hereby established as the New Jersey State Lottery Code of Ethics for Vendors and Contractors.

This Code of Ethics shall be made part of every request for proposals (RFP) promulgated by the Lottery following the effective date of this resolution. It shall be distributed to all present and future parties who do business with the Lottery (other than as purchasers of Lottery Tickets and shares). It shall also be submitted for publication, public comment and projected enactment as an agency rule pursuant to the Administrative Procedure Act, N.J.S.A. 52:14B-1, et seq.

LOTTERY VENDORS' CODE OF ETHICS

1. No Lottery Vendor* shall employ any person or maintain any business relationship with any person who is a Lottery Commissioner, officer or employee. The maintenance of a business relationship shall be deemed to include but not be limited to any interest, financial or otherwise, direct or indirect, any business transaction or professional activity involving a Commissioner, officer or employee. However, it shall not be a violation of this paragraph for a Lottery Commissioner, officer or employee to seek future outside employment or to correspond with a Lottery Vendor with respect thereto, provided that (a) the Director is promptly informed of such activities and (b) they are not violative of State law or such other ethical standards as may apply.**

2. No Lottery Vendor shall cause or influence, or attempt to cause or influence, any Lottery Commissioner, officer or employee to act in his official capacity in any manner which might tend to impair the objectivity or independence of judgment of said Lottery Commissioner, officer or employee.

*As used in this Code of Ethics, Lottery Vendor means any person, firm or corporation engaging or seeking to engage in business with the Division of the State Lottery.

**Lottery Commissioners and Division Officers and employees are covered by Separate Code of Ethics -- see Paragraph 7.

3. No Lottery Vendor shall cause or influence, or attempt to cause or influence, any Lottery Commissioner, officer or employee to use, or attempt to use, his official position to secure unwarranted privileges or advantages for that Lottery Vendor or for any other person.

4. No Lottery Vendor shall offer any Lottery Commissioner, officer or employee any gift, favor, service or other thing of value under circumstances from which it might be reasonable inferred that such gift, service, or other thing of value was given or offered for the purpose of influencing the recipient in the discharge of his official duties.

5. This Code of Ethics shall also apply to any licensed agent of the New Jersey State Lottery.

6. No Lottery Vendor shall, without the written approval of the Director, disclose, directly or indirectly, any information not generally or legally available to the public concerning the affairs' of the Division.

7. This Code is intended to augment and not replace existing administrative orders and pertinent codes of ethics. If any part of this Code shall be found ineffective or inoperative, such finding shall not affect the other parts of the Code.

8. This Code shall take effect immediately upon adoption by the New Jersey State Lottery Commission.